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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Marc A. Desbiens and Group Art Unit: 2171  
James W. Sinclair  
Serial No.: 10/015,983 Examiner: Unknown  
Filed: November 2, 2001 Docket No.: 1028-005US01  
Title: COMPUTER-BASED BUSINESS PLANNING PROCESSES

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on

May 6, 2002.

By: Samantha J. Rupert  
Name: Samantha J. Rupert

INFORMATION DISCLOSURE STATEMENT

**RECEIVED**

Commissioner for Patents  
Washington, D.C. 20231

**MAY 23 2002**

**Technology Center 2100**

Dear Sir:

Applicant submits the reference listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed before the receipt of a first Office Action on the merits.

Respectfully submitted,

Date: May 6, 2002

Kent J. Sieffert

By: Kent J. Sieffert  
Reg. No. 41,312

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<p><b>Form 1449*</b></p> <p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT</b></p> <p style="text-align: center;">IN AN APPLICATION</p> <p>(Use several sheets if necessary)</p>	 <p>Docket Number: <b>1028-005US01</b></p> <p>Application Number: <b>10/015,983</b></p> <p>Applicant: <b>Marc A. Desbiens and James W. Sinclair</b></p> <p>Filing Date: <b>November 2, 2001</b></p> <p>Group Art Unit: <b>2171</b></p> <p>Examiner Name: <b>Unknown</b></p>
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## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No

**OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)**

	“An Introduction to Database Systems,” by C.J. Date, 7 <sup>th</sup> Edition, 2000, Chapter 21 Decision Support, pp. 694-729.
	“CONTROL,” by KCI Computing, Inc. of Torrance, CA.
	“e.Planning,” by Adaytum of Bloomington, MN.

<b>EXAMINER</b>	<b>Date Considered</b>
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**\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**